University Animal Care Committee (UACC)

Terms of Reference

18 July 2016
University of Saskatchewan
Terms of Reference for the University Animal Care Committee

Introduction
The University of Saskatchewan regards the use of animals in research, teaching, production and testing as valuable for continued progress in human and veterinary medicine, agriculture, science and education. The University accepts the use of experimental animals as a privilege, one that comes with important ethical responsibilities: to ensure that good science is done; to ensure that every animal is treated humanely and not subjected to unnecessary pain or distress; and to work within the accepted standards for experimental animal care and use.

In Canada these standards are set by the Canadian Council on Animal Care (CCAC) through its guidelines and policies, and compliance is monitored through its assessment program. The CCAC program is based on scientific peer review, policy making, and responsibility at the institutional level. To remain in compliance all Canadian institutions using animals for research, teaching, production and testing must establish an animal care committee and have in place an experimental animal care and use program that meets, or exceeds, the CCAC standards.

Purpose
The University Animal Care Committee (UACC) was established with responsibility for implementing an effective University-wide program for experimental animal care and use that meets or exceeds Canadian standards. This program includes all the animals used in research, teaching, production and testing at the University of Saskatchewan.

The purpose of the UACC and the University’s Animal Care and Use Program are:

a) to ensure humane and ethical treatment of all animals used and maintained by the University of Saskatchewan through a University-wide program for experimental animal care and use;
b) to ensure that all animal care and use is done in compliance with the polices of the UACC, and the national CCAC standards;
c) to ensure that all animal users, including faculty, students, staff and visiting scientists at the University of Saskatchewan and related institutions are aware of their responsibility to remain in compliance with standards for experimental animal care and use;
d) to establish policies that will ensure standards of animal care and use which meet or exceed the Canadian standards, as contained in the Canadian Council on Animal Care guidelines and polices;
e) to provide advice to the Vice-President Research on all matters relating to the care and use of experimental animals at the University of Saskatchewan;
f) to ensure that all proposed animal use receives ethical review and approval before the research starts;
g) to ensure that all persons working with animals are properly trained and qualified;
h) to ensure high standards of care for the animals;
i) to ensure adequate veterinary care for the animals;
j) to ensure adequate facilities for housing the animals.
UACC Terms of Reference

1. **Status, Reporting and Relationships with other Campus animal care and use committees**

The University Animal Care Committee (UACC) is a University Committee that reports to the Vice-President Research.

The Western College of Veterinary Medicine (WCVM) has an Animal Care Committee. The Chair is a member of the UACC.

Other departments, research institutes, and post-secondary institutions that are administratively responsible for animal facilities within the department or institute may have animal user committees. These departments and research institutes have representatives on the UACC.

2. **Membership**

UACC members will be appointed by the Associate Vice-President Research.

UACC members will be appointed for a term of three years. Terms may be renewed to a maximum of nine consecutive years of service. Exceptions will be considered on a case-by-case basis. Decisions on member re-appointment shall be made by mutual agreement of the UACC member, Director, Research Services and Ethics and the Vice-President Research. Every effort will be made to ensure continuity and a core of knowledgeable and experienced members.

A member may resign before the conclusion of his/her term. The vacancy will be filled as quickly as possible. The Vice-President Research may remove a member for cause at any time.

a) **Chair.** The Chair shall be a faculty member, appointed by the Associate Vice-President Research, not directly involved in the management of the institutional animal care facilities, not the laboratory animal veterinarian(s) for the University, and not be involved in preparing a significant number of animal use protocols. A Vice-Chair will be appointed from the researcher / faculty members of UACC. The UACC Chair will also serve as the Animal Research Ethics Board Chair.

b) **Animal Care Committee Chair, Western College of Veterinary Medicine (WCVM)**

c) Researchers experienced in animal care and use (a total of 16 representatives)
- College of Agriculture (1)
- College of Arts and Science (1)
- Health Science Colleges in the Health Sciences Building (3)
- Western College of Veterinary Medicine (4)
- Faculty Members at Large (3)
- Research Institutes and Centres (3)
  - VIDO
  - Prairie Swine Centre
  - Canadian Light Source
Unaffiliated Research Institutes (1)  
   CFIA Saskatoon Laboratory  
Post-Secondary Technical Institution (1)  
   Saskatchewan Polytechnic

Nomination of Researcher representatives to be made by the college dean or designate or institute director.

Nomination of the three Faculty Members at Large to be done by a nominating committee consisting of the UACC Executive.

d) UACC Veterinarians (2)  
   University Veterinarian, Research Services and Ethics Office (ex officio, voting)  
   Animal Welfare Veterinarian, Health Sciences Project Vivarium (ex officio, voting)

e) Institutional Member not involved in animal use (1)  
   The institutional member representative can be a shared position, allowing for more than one representative to fill the role. Only 1 vote per total number of representatives will be permitted, even if multiple individuals share the member position.

f) Community Representatives (2)  
   The community representative members can be shared positions, allowing for more than two representatives to fill the role. Only 2 votes per total number of community representatives will be permitted, even if multiple individuals share the member position.

g) Technical Staff Representatives (2)  
   At least one technical representative to be an animal care technician employed in one of the main animal facilities on campus.

h) Student Representatives (2)  
   University Undergraduate Representative (USSU)  
   University Postgraduate Representative (GSA)  
   The student representative members can be shared positions, allowing for more than one student to fill the role. Only 1 vote per undergraduate student representative and 1 vote per graduate student representative will be permitted, even if multiple students share the member position.

k) Animal Facility Managers (9 – ex officio, voting)  
   College of Agriculture, Department of Animal and Poultry Science, Campus Farm (1 representative – representing the Poultry Centre, Dairy Research and Teaching Unit, Sheep Unit and Livestock Research Building, and the Beef Research and Teaching Unit)  
   College of Arts & Science, Biology Department, RJF Smith Centre for Aquatic Ecology (1)  
   College of Arts & Science, Natural Sciences Museum (1)  
   Health Sciences Building (1)  
   Prairie Swine Center Inc. (1)  
   Toxicology Centre, Aquatic Toxicology Research Facility (1)
3. **Authority.**

The UACC has the authority, on behalf of the Vice-President Research of the University, to:

a) Stop any procedure if it considers that unnecessary distress or pain is being experienced by an animal;

b) Stop immediately any use of animals which deviates from the approved use, any non-approved procedure, or any procedure causing unforeseen pain or distress to animals;

c) Have an animal killed humanely if pain or distress caused to the animal cannot be alleviated.

The University Veterinarian, Animal Welfare Veterinarian, or any veterinarian called upon to attend a University-owned animal, has been delegated the authority to: stop any procedure causing unnecessary pain or stress to the animal(s) or deviating from the approved protocol; and humanely kill any animal believed to be in pain or distress that cannot be alleviated.

4. **Responsibility.**

It is the responsibility of the UACC to establish policies and procedures to:

a) Ensure that no research, teaching, production or testing (including field studies), involving animals is started, and that no breeding or holding of animals occurs, without prior UACC approval of a written animal use protocol.

b) Require all animal users to complete the appropriate Animal Use Protocol Application Form, and ensure that the protocol form includes all the sections as identified in the CCAC policy on Terms of Reference for Animal Care Committees.

c) Ensure that, for research and testing projects, a peer review of scientific merit has been carried out.
d) Ensure that the Animal Research Ethics Board reviews and assesses all animal use protocols in accordance with UACC policies, and with the CCAC guidelines on animal use protocol review.

e) Define an appeal mechanism that can be used by the principal investigator of a protocol in the event that he/she is not satisfied with the decision of the Animal Research Ethics Board.

f) Ensure that all animal users have the opportunity to become familiar with CCAC guidelines and policy statements, relevant University policies, and federal, provincial or municipal statutes that may apply.

g) Ensure that animal users report any unanticipated problems or complications, as well as on the steps they have taken to address the problem(s), to the UACC.

h) Ensure appropriate care of animals in all stages of their life and in all experimental situations.

i) Ensure that all facilities used to house animals are up to the standards as set down by the Canadian Council on Animal Care, and are maintained and managed appropriately.

j) Ensure that veterinary care is available commensurate with current veterinary standards, and consistent with the Standards of Veterinary Care as defined by the Canadian Association for Laboratory Animal Medicine/l'association canadienne de la medecine des animaux de laboratoires (CALAM/ACMAL).

5. Meetings.

The UACC will meet at least twice per year, and as often as necessary to fulfil its responsibilities. The quorum for UACC meetings shall be 30% of voting members, including the following members: at least one scientist, one veterinarian, one community representative, one institutional member who does not use animals, and one technical staff representative. The quorum for the standing committee meetings shall be a majority of the voting members with a community representative, researcher member and the University Veterinarian or Animal Welfare Veterinarian or delegated veterinarian present. Majority for the subcommittees is 50% of the voting members plus one member.

6. Executive Committee

a) Chair:
   UACC Chair or designate

b) Membership:
   UACC Chair or designate
   Three Researcher members
   University Veterinarian and/or Animal Welfare Veterinarian
   One Community representative
One Student representative
One Technical representative
Director, Research Services and Ethics Office (non voting)
Research Ethics Specialist, RSEO (non voting)

Members to be chosen by full Committee at spring meeting.

The Executive Committee is responsible for committee business between formal meetings of the full UACC; and Animal Use Protocol appeals.

7. **Standing Committees, Policies and Procedures.**

There are three standing committees of the UACC.

7.1 **Animal Research Ethics Board (AREB)**

a) Chair:
   UACC Chair or designate, appointed by the Vice-President Research

b) Membership:
   UACC Chair or designate
   University Veterinarian and/or Animal Welfare Veterinarian
   Six Researcher members from UACC
   Other Researchers with Special Expertise, at the invitation of the Chair
   Institutional Non-animal User Representative
   Four of the following consisting of at least one from each group:
   - Community Representatives
   - Student Representatives
   - Technical Representative
   Director, Research Services and Ethics Office (non voting)
   Research Ethics Specialist, RSEO (non voting)
   UACC Animal Technician, RSEO (non voting)
   Biosafety Officer, Safety Resources (non voting)

Members to be assigned by the Research Services and Ethics Office on an annual basis.

Frequent unexplained absences by AREB members will be construed as a notice of resignation from the UACC. An appointment on the UACC may be ended if an AREB member is absent for three meetings during an annual AREB rotation without prior arrangement with the RSEO.

c) Terms of Reference:
   i. The Animal Research Ethics Board shall meet at least monthly to conduct an ethical review of all Animal Use Protocol applications (including new animal use protocols, annual renewals, and modifications), consistent with the policies of the UACC and with the CCAC guidelines and policies. This includes internally-
funded projects. The AREB will ensure that meeting and deadline dates will be posted on the Research Services and Ethics Office website.

ii. The Animal Research Ethics Board shall not approve an Animal Use Protocol until scientific merit has been established. Review for scientific merit is the responsibility of the Office of Vice-President Research Scientific Merit Review Committee for Animal Use Protocols (SMRC). The merit review process is facilitated by the Research Services and Ethics Office.

iii. The Animal Research Ethics Board shall ensure that the Animal Use Protocol application includes all the information defined in the CCAC Guidelines for Animal Utilization Protocol Review and the CCAC Policy on Animal Care Committee Terms of Reference, and ensure that the information presented is in a form that all members of the Animal Research Ethics Board can readily understand.

iv. The quorum for AREB meetings shall be a majority of the voting members with a community representative, researcher member and the University Veterinarian or Animal Welfare Veterinarian or delegated veterinarian present. Majority is 50% of the voting AREB members plus one member.

v. The Animal Research Ethics Board shall ensure that all proposed procedures on animals comply with CCAC guidelines and policies, and if at variance with those guidelines, require justification for the variance on scientific grounds.

vi. The Animal Research Ethics Board shall ensure that animal users update their protocols with any modifications they intend to make.

vii. The Animal Research Ethics Board shall ensure that all forms used to provide information to the Animal Research Ethics Board are reviewed and updated regularly.

viii. The Animal Research Ethics Board shall document all UACC Animal Research Ethics Board decisions in the committee minutes and on attachments to the protocol forms.

ix. The Animal Research Ethics Board reports to UACC at least once a year.

e) Process for Animal Use Protocol Review:

   i. All new protocols, new protocol forms filed after four years, and annual review of all ongoing protocols that are CCAC Category of Invasiveness "D" or "E" will be reviewed by the full Animal Research Ethics Board.

   ii. Annual review of ongoing protocols that are CCAC Category of Invasiveness "B" or "C" and that have not had major changes to the protocol will be reviewed by a subcommittee of the Animal Research Ethics Board consisting of three persons; Animal Research Ethics Board Chair or designate (Researcher member of UACC), the University Veterinarian or delegated veterinarian, and one community representative of the UACC.

   iii. Requests for modifications to a protocol will follow the UACC Procedures on Submission of a Modification to an Existing Animal Use Protocol.

   iv. Provisional approval of new protocols judged to be of Category of Invasiveness level 'B', 'C' or 'D' may be granted following review by the Animal Research Ethics Board Chair, the University Veterinarian or delegate, and a community representative provided all AREB subcommittee members agree to issuing the provisional approval and evidence of scientific merit review is confirmed. The AUP will be reviewed by the full Animal Research Ethics Board at the next meeting.

   v. Protocols that do not involve any live animal handling or manipulation and which
are CCAC Category of Invasiveness level “A” will be reviewed by the Research Ethics Specialist/UACC Animal Technician and Biosafety Officer, and documented and filed in the UACC protocol records.

7.2 Facility Inspection Committee

a) Chair:
   UACC Chair or designate

b) Membership:
   UACC Chair or designate
   University Veterinarian and/or Animal Welfare Veterinarian
   Four Researcher members
   One representative from each of:
   - Community Representatives
   - Student Representatives
   - Technical Representative
   Director, Research Services and Ethics Office (non voting)
   Research Ethics Specialist, RSEO (non voting)
   UACC Animal Technician, RSEO (non voting)
   Biosafety Officer (non voting)

Members to be assigned by the Research Services and Ethics Office on an annual basis.

c) Terms of Reference:
   i. The Facility Inspection Committee shall undertake site visits of all animal care and use facilities and related experimental laboratories at least once a year, and document these visits through written reports.
   ii. Written reports shall be forwarded to the Facility Manager or administrative officer responsible for the facility. These reports shall also be forwarded to the appropriate Department Head, Dean, or Unit Director overseeing the facility. Reports including Serious or Major Recommendations will be copied to the UACC Chair, University Veterinarian, and Vice-President Research.
   iii. Implementation responses to Facility Inspection Committee recommendations shall be requested from the Facility Manager after reception of the report. Implementation responses to Major Recommendations are required within 1 month, to Serious Recommendations are required within 2 months, and to Regular Recommendations are required within 6 months of receiving the Facility Inspection Committee Report. These responses should be forwarded to the Research Services and Ethics Office for review by the Facility Inspection Committee.
   iv. Failure to forward an implementation response within the specified time period will result in notification of the UACC Chair, University Veterinarian, and Vice-President Research for follow-up actions, which may include the suspension of research privileges with animals until the recommendations are addressed.
   v. The Facility Inspection Committee reports to UACC at least once per year, generally at the UACC Fall Meeting.
7.3 Education and Training Committee

a) Chair:
   UACC Chair or designate

b) Membership:
   UACC Chair or designate
   Two Researcher members
   One Graduate Student Representative
   University Veterinarian and/or Animal Welfare Veterinarian
   Director, Research Services and Ethics Office (non voting)
   Research Ethics Specialist, RSEO (non voting)
   UACC Animal Technicians, RSEO (non voting)
   Other Training Officer(s)
   - Surgical Training

Members to be chosen at the fall meeting of UACC.

c) Terms of Reference:
   i. The Education and Training Committee should determine the most efficient way
      to ensure UofS animal users receive proper education and training in the use of
      animals in research, teaching, production and testing.
   ii. The Education and Training Committee should ensure that the training and
       practical skills competency of each animal user on campus can be properly
       documented.
   iii. The Education and Training Committee will ensure the availability of core course
       materials to all animal users.
   iv. The Education and Training Committee should recommend how the competency
       of UofS animal users in both theoretical and practical aspects of experimental
       animal science can best be measured.
   v. The Education and Training Committee should recommend how the competency
       of visiting faculty in both theoretical and practical aspects of experimental animal
       science can best be measured.
   vi. The Education and Training Committee reviews and revises Standard Operating
       Procedures (SOPs) included in the SOP Databank (refer to Section 8). The ETC
       will develop a schedule to ensure SOPs receive review at a minimum every three
       years. The UACC must review any major revisions made to Institutional SOPs,
       while the AREB reviews any major revisions made to Guideline or Specialized
       SOPs. SOP review must include review by at least one veterinarian member of
       the ETC.

7.4 Participation in UACC Standing Committees

Participation in each of the Standing Committees is typically restricted to those
individuals identified in the Terms of Reference. However, the UACC recognizes that it
does not operate in isolation of others at the University and hence may seek the advice
of outside individuals from time to time. This may include, but is not restricted to the
Biosafety Officer, Facility Managers, and representatives from Research Services, Facilities Management Division, and Campus Safety and Security Department. Participation of outside individuals at each Standing Committee meeting is left to the discretion of the Chair of the respective committee. All outside individuals are considered guests and may not participate in procedure decisions.

8. **Standard Operating Procedures (SOPs).**

The UACC will maintain an active Standard Operating Procedure (SOP) and Humane Intervention Point (HIP) Databank that is available to all animal users on approved Animal Use Protocols at the University of Saskatchewan. SOPs identified in the databank will be categorized into three types (identified in top left hand corner of the SOP by specific colour code):

A. Institutional SOPs (red)
   i. These SOPs are UACC approved. The investigator must follow the SOP as written.

B. Guideline SOPs (blue)
   i. These SOPs are AREB approved. The investigator can choose to follow the SOP as written or make minor modifications to the SOP. Such modifications must be itemized and justified in the submitted Animal Use Protocol for approval by the AREB.

C. Specialized SOPs (green)
   i. These SOPs are AREB approved, but represent procedures not widely used on the UofS campus. Investigators can choose to follow the SOP as written or make minor or major modifications to the SOP. Any modification to the SOP must be itemized and justified in the submitted Animal Use Protocol for approval by the AREB.

9. **General**

In addition to the responsibilities outlined above, the UACC will in other respects carry out the responsibilities as outlined in the CCAC Policy on Terms of Reference for Animal Care Committees.

10. **Administrative Support for the Animal Care and Use Program**

The offices of the administrative staff that support the animal care and use program will be located in the Research Services and Ethics Office.

The offices of the University Veterinarian, Research Ethics Specialist and UACC Animal Technicians and will be located in the Research Services and Ethics Office.